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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan D. Goodwin, et al.  
Application No. : 09/905,329  
Filed : July 13, 2001  
Title : WEB-ENABLED VALUE BEARING ITEM PRINTING  
Grp./Div. : 2161  
Examiner : To Be Assigned  
Docket No. : 41543/RRT/S850

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INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Commissioner for Patents  
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July 30, 2003

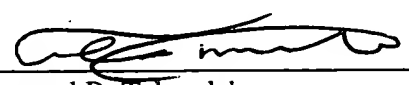
Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By

  
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/clv  
Enclosures: PTO/SB/08A/B, w/references  
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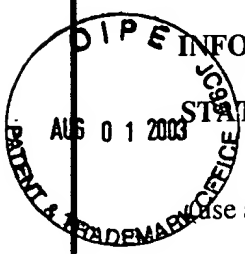
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| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B<br><br><b>INFORMATION DISCLOSURE</b><br><b>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) | Attorney Docket Number | 41543/INT/S850              |
|  | Application Number     | 09/905,329                  |
|  | Filing Date            | July 13, 2001               |
|  | Applicant(s)           | Jonathan D. Goodwin, et al. |
|  | Group Art Unit         | 2161                        |
|  | Examiner Name          | To Be Assigned              |



| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE |
|                       |                       | 6,233,565 B1  | 05-15-2001                     | LEWIS, et al.    |
|                       |                       | 6,424,954 B1  | 07-23-2002                     | LEON             |
|                       |                       | 2001/0034716 A1   | 10-25-2001                     | GOODWIN          |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |                       |
|--------------------------|-----------------------|---|--------------------------------|--|-----------------------|
| EXAMINER INITIALS        | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>(✓) |
|                          |                       |   |                                |  |                       |

| OTHER DOCUMENTS   |                       |  |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                   |                       | The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICIUM SPECIFICATION; June 13, 1996; 22pp.  |
|                   |                       | The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.   |
|                   |                       | The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.      |
|                   |                       | U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.  |
|                   |                       | U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.   |
|                   |                       | U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.   |

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|---|-----------------|
| EXAMINER SIGNATURE  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached. |                 |

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FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

Attorney Docket Number

41543/RRT/S850

Application Number

09/905,329

Filing Date

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Applicant(s)

Jonathan D. Goodwin, et al.

Group Art Unit

2161

Examiner Name

To Be Assigned

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### OTHER DOCUMENTS

| EXAMINER<br>INITIALS | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|----------------------|--------------------------|--|
|                      |                          | U.S. Application Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.   |
|                      |                          | U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.   |
|                      |                          | U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.   |
|                      |                          | U.S. Application Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.   |
|                      |                          | U.S. Application Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.  |
|                      |                          | U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.  |
|                      |                          | U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.   |
|                      |                          | U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indiciu Printing Using DSA", 43pp.  |
|                      |                          | U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.  |

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